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PTO/SB/05 (11-00) Approved for use through 10/31/2002. OMB

0651-0032

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UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 510015-272
First Inventor Larry Coldren
Title HEAT SPREADING LAYERS FOR VERTICAL CAVITY SURFACE EMITTING LASERS
Express Mail Label No. EL585710205US

See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20221							
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing.) 2. X Applicant claims small entity status. See 37 CFR 1.27	Washington, D.C. 20231 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)							
3. Specification Total Pages 16 _ Cover sheet _ Descriptive title of the invention _ Background of the Invention _ Brief Summary of the Invention _ Brief Description of the Drawings (if filed) _ Detailed Description _ Claim(s) _ Abstract of the Disclosure Drawing(s) (35 U.S.C. 113) Total Sheets 9	a. Computer Readable form b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Statements verifying identity of above copies							
	ACCOMPANYING APPLICATION PARTS							
Drawing(s) (35 U.S.C. 113) Total Sheets 9 9.	Assignment Papers (cover sheet & documents)							
55. Combined Declaration	37 C.F.R. 3.73(b) Statement Power of Attorney							
& Power of Attorney Total Pages 5 a. Newly Executed (original or copy)	English Translation Document (if applicable)							
& Power of Attorney Total Pages 5 a. Newly Executed (original or copy) b. Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 18 completed)	Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations							
i. Deletion of Inventor(s)	Preliminary Amendment							
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
6. Application Data Sheet. See 37 CFR 1.76	Certified Copy of Priority Document(s) (If foreign priority is claimed)							
16.	Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)							
17. If a CONTINUING APPLICATION, check appropriate box and preliminary amendment, or in an Application Data Sheet under 37	d supply the requisite information below and in a							
☐ Continuation ☐ Divisional ☐ Continuation-in-part (C Prior application information: Examiner:	of prior application No. : Group Art Unit:							
19. CORRESPONDENCE ADDRESS								
☐ Customer Number or Bar Code Label or ☑ New correspondence address below								

OPPENHEIMER WOLFF & DONNELLY LLP 2029 Century Park East, Suite 3800 Los Angeles, California 90067 U.S.A. Telephone (310) 788-5000 • Fax (310) 788-5100 Attn: Charles Berman

FEE CALCULATION

CLAIMS]		
	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS		
	TOTAL CLAIMS (37 CFR 1.16(c))	20 - 31	11		\$ 18.00		\$198.00		
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3	X		\$.00	\$.00	
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) \$.00						********		
	<		BASIC FEE (37 CFR 1.16(a))			φ 10.00			
		Total of above Calculations =				\$908.00			
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)					454.00			
	TOTAL =						\$454.00		

- 6.☑ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
 - a. 🗹 Fees required under 37 CFR 1.16.
 - b. 🗹 Fees required under 37 CFR 1.17.
 - Fees required under 37 CFR 1.18. c. 🗆
- A check in the amount of \$454.00 is enclosed.
 - A check in the amount of \$ 40.00 for Assignment recordation is enclosed

Accordingly, issuance of the official Filing Receipt an early date is in order and is respectfully requested.

Express Mailing Label No. EL585710191US

Thereby certify that on August 21, 2001, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Valerie Mata

Dated: August 21, 2001

Charles Berman Marc Bobys Registration No. 29,249 45,267

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